



ALPHAMETRIX

The Marketplace for Private Investments

ALPHAMETRIX REGULATORY SOLUTIONS

APRIL 2013

FREE MARKET SOLUTIONS FOR REGULATORY PROBLEMS



We help regulators
aggregate and
monitor data



We help investors by
making them aware of
where their money
is at all times



We help Manager
TransparentFunds reach
thousands of qualified
investors demanding
transparency

ALPHAMETRIX IS THE TRANSPARENCY PROVIDER IN THE ALTERNATIVE INVESTMENT INDUSTRY

Our philosophy is to utilize data visualization to simply and efficiently present vital data to regulators because **we believe informed regulators make better regulators.**

BIG DATA

We aggregate performance information on over 4,000 funds obtained directly from managers. AlphaMetrix is directly connected to over 300 banks, Prime Brokers (PBs), Futures Commission Merchants (FCMs) and administrators.

VISUALIZATION

AlphaMetrix offers next generation reporting and analytical systems for regulators. Currently, the National Futures Association and the Chicago Mercantile Exchange utilize AlphaMetrix Regulatory Solutions to more effectively and efficiently apply regulation.

REGULATORS

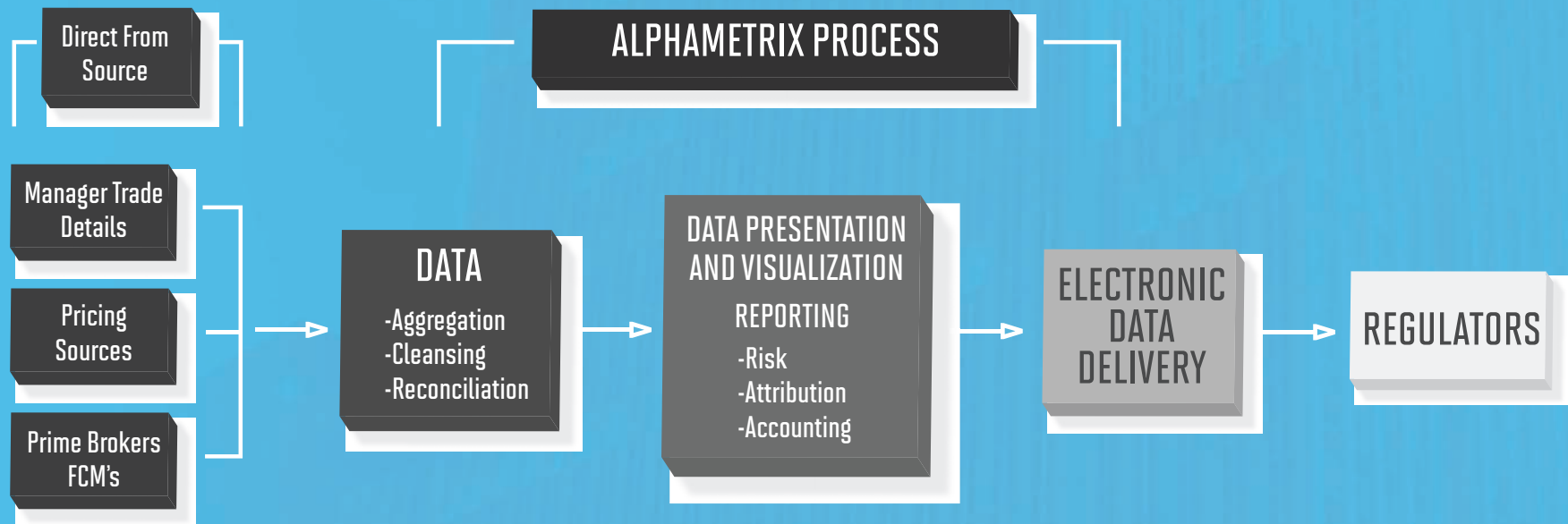
We service two of the largest futures regulatory bodies in the industry, the National Futures Association and the Chicago Mercantile Exchange



ALPHAMETRIX DATA AND ANALYSIS

OUR PROCESS FOCUSES ON DATA AGGREGATION, VALIDATION, AND PRESENTATION.

Our clients rely on AlphaMetrix's proprietary back-through-front office processing, reconciling, reporting and data visualization tools to make more informed decisions.





Cutting edge technology is central to our ability to provide regulators with an unprecedented ability to monitor their constituents and ensure they have the necessary information to effectively apply regulation.

Proprietary software receives automatic data feeds from FCMs and PBs and reconciles it with daily trading blotters provided by fund managers and administrators.

Internally developed risk engine analyzes daily fund positions and generates robust risk reports capable of supporting full scale regulatory analysis and decision making.

- **Process millions of trades each month**
- **Process intraday information on a wide variety of various types of hedge fund and managed futures funds**
- **Connected to over 300 banks, PBs, FCMs and administrators**
- **Collect balances for all bank depositories holding customer segregated, secured amount and sequestered funds on behalf of futures commission merchants (“FCM”) on a daily basis**



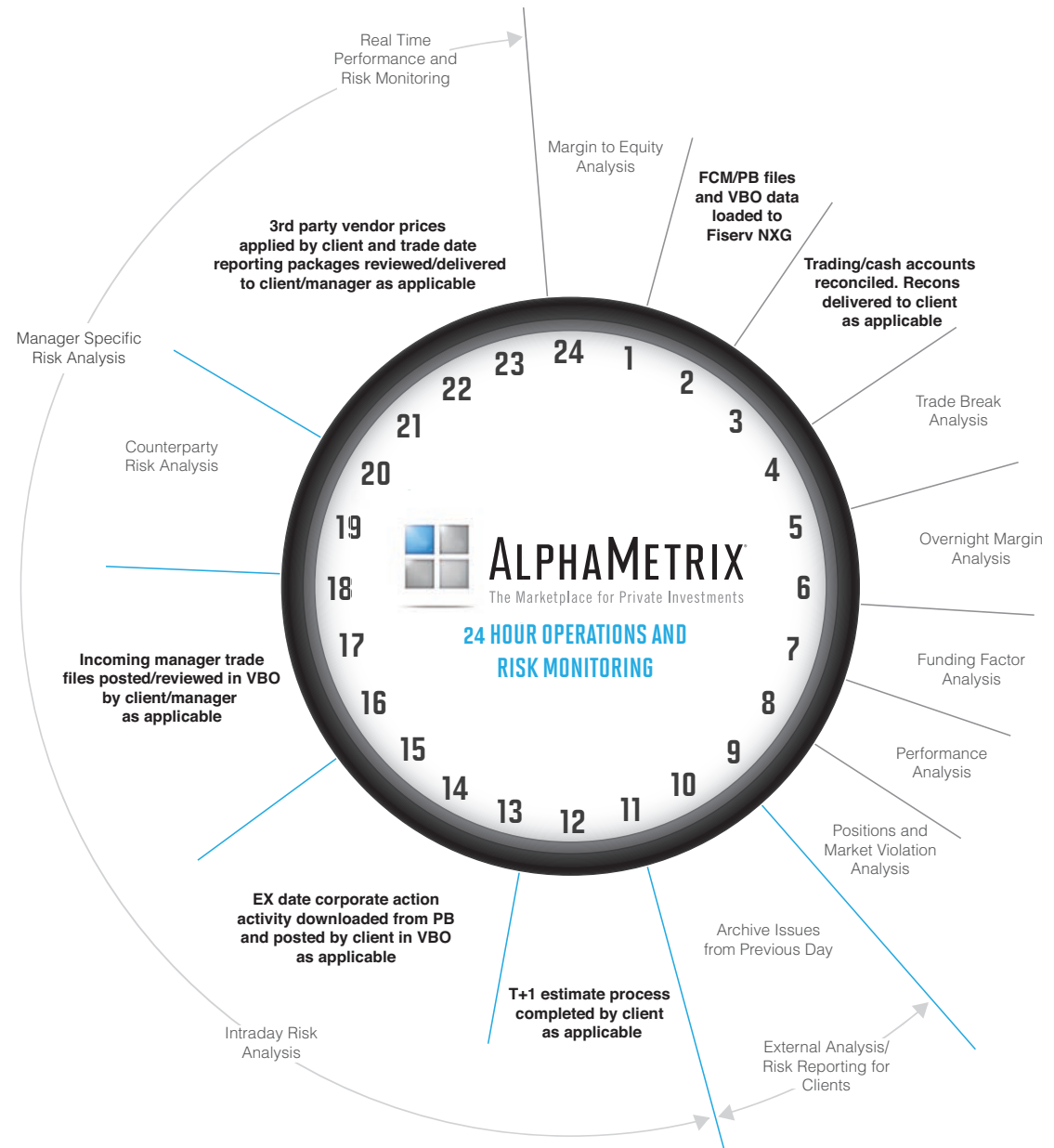
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DATA VALIDATION

24/7 CONTINUOUS MONITORING

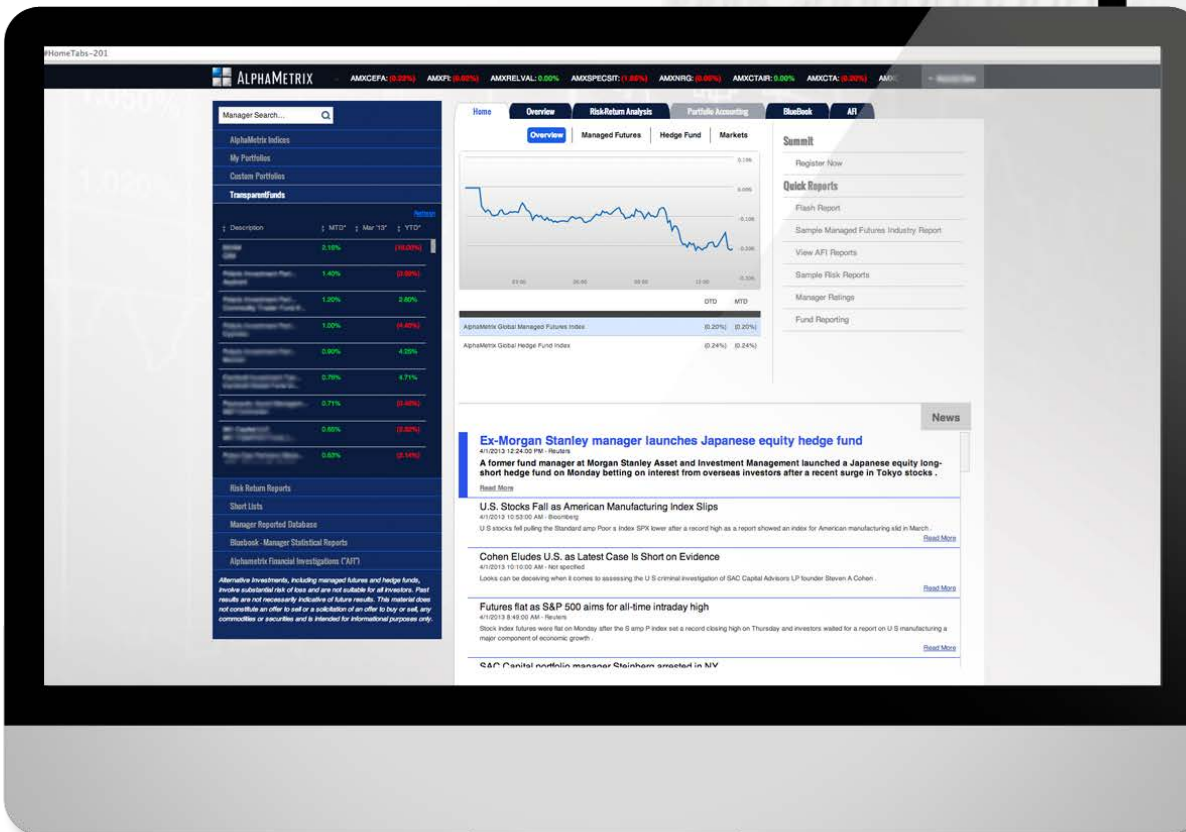
Risk operations desk staffed during all market hours around the globe.

- Position Monitoring
- Position Reconciliation
- Cash Monitoring
- Margin Monitoring
- Counterparty Analysis
- Daily Industry Reports
- Advanced Statistical Analysis
- Liquidity Analysis
- Due Diligence Analysis
- AFI Monitoring



RISK/RETURN SUMMARY

- Gain instant access to dashboards representing the high-level risk/return and attribution and exposure characteristics of funds
- Our daily exposure and attribution reports help you to quickly identify drivers of performance and risk within funds you regulate, over various components such as industry, sector and region
- Reports are delivered via e-mail or FTP and are available in many file formats to get you the information you need in the most efficient manner
- Our reports are capable of handling all Alternative Investment strategy types from any counterparty within the financial system





SUCCESSFUL MANDATE BY THE NFA AND CME

CLIENT



The Chicago Mercantile Exchange (“CME”) and the National Futures Association (“NFA”).

CHALLENGE



The CME and NFA were searching for a financial technology provider to track balances for bank depositories holding customer segregated, secure accounts and sequestered funds on behalf of Futures Commission Merchants on a daily basis.

SOLUTION



AlphaMetrix won the mandate of aggregating and presenting this information in a custom and consolidated format to the CME and NFA on a daily basis. Utilizing AlphaMetrix's proprietary technology, the CME and NFA are working to preserve industry integrity.

Press Release



NFA and CME Group Select AlphaMetrix to Provide Data Aggregation Services

FOR IMMEDIATE RELEASE

November 26, 2012, Chicago - AlphaMetrix360, LLC (“AlphaMetrix360”) announced today that it has been selected to provide electronic data aggregation services for both the CME Group Inc. (“CME”) and National Futures Association (“NFA”). As futures industry self-regulatory organizations, the initiative will allow CME and NFA to build a system to collect balances for all bank depositories holding customer segregated, secured amount and sequestered funds on behalf of futures commission merchants (“FCM”) on a daily basis. NFA and CME will perform an automated daily comparison of the balances reported by the bank depositories to the balances reported by the FCMs to identify any discrepancies.

Aleks Kins, President and Chief Executive Officer of AlphaMetrix360 stated, “It is an honor to have been selected by CME and NFA to work on such an important and necessary project which will improve the ability to monitor the safety of customer funds. Clearly, both organizations have acted decisively to restore confidence in the industry.”

As a result of servicing these two mandates, AlphaMetrix360 has formed the dedicated AlphaMetrix Regulatory Solutions Group, which will be headed by Dennis R. Zarr, Senior Partner of AlphaMetrix Group, LLC to efficiently service these clients as well as to expand capabilities in this space. The firm started the implementation process of the initiative in early November and will start reporting daily data in early December.

In addition to the aforementioned mandates, AlphaMetrix is currently in several discussions with other global exchanges and regulatory bodies to provide custom aggregation and monitoring services.

AlphaMetrix360 is part of AlphaMetrix Group, LLC, and provides data aggregation services to the alternative investment community utilizing a proprietary software platform. The firm aggregates data from a wide range of global financial institutions including, but not limited to, banks custodians, prime brokers, FCMs, administrators, hedge funds, funds of funds, managed account platforms, family offices and sovereign wealth funds. AlphaMetrix360 has operations in Chicago, Illinois, New York, New York, Columbus, Ohio and the Cayman Islands.