

List of Participants

ISEEE Sarasota Meeting

April 3 - 5, 2016

ISEEE MEMBERS

Richard P. Bernard *

Former: Executive Vice President and General Counsel, New York Stock Exchange
Passport: U.S.

Hugh Cleland *

Former: Vice President, Toronto Stock Exchange
Passport: Canada

James F. Duffy **

Former: Executive Vice President and General Counsel, American Stock Exchange and NYSE Regulation
Current: Principal, Oversight & Governance Solutions, LLC.
Passport: U.S.

David E. Franasia**

Former: Office of Chairman, Ernst & Young; Director of Tax, U.S. Chamber of Commerce; British Petroleum; Staff Director to Tax Oversight Subcommittee U.S. House of Representatives
Current: Vice President, Finance and Executive Committee, Williams & Jensen Law Firm, Washington, DC
Passport: U.S.

A. Michael Lipper**

Current: President, Lipper Advisory Services
Passport: U.S.

Michel Maquil**

Former: CEO Luxembourg Stock Exchange
Current: Consultant Luxembourg Central Bank
Passport: Luxembourg

Daulet Saudabayev

Former: Deputy Minister of Finance of Kazakhstan; Advisor to Executive Director of IMF; Advisor to the Prime Minister of Kazakhstan
Current: Advisor to Executive Director of the Azerbaijan Kazakhstan, Kyrgyz Republic, Poland, Serbia, Tajikistan, Turkmenistan International Monetary Fund
Passport: Kazakhstan

David Scheckel

Former: Herzog, Heine and Geduld, Merrill Lynch, CEO Order Execution Services
Current: Founder and Director Treveri Markets S.A. Luxembourg
Passport: U.S.

Steven M. Shelton*

Former: President Scottish Crown Life Insurance Company, Bermuda; President, Northstar U.S.; Executive Vice President Nuveen Investments
Current: Cornerstone Global Group, LLC, Chicago
Passport: U.S.

Special Guests

Robert K. Burke

Former: Kemper Securities; Everen Securities
Current: Nuveen Investments
Passport: U.S.

Ari Burstein

Former: Senior Counsel, Investment Company Institute and ICI Global
Current: Head of U.S. Regulatory Affairs, Kreab
Passport: U.S.

Samantha Foerster

Former: Consultant to STA National Senior Positions at Fidessa, Sunguard, Bloomberg
Current: Head of Marketing and Relationship Management at AGUA
Passport: U.S.

Roger H. Ganser

Current: Chairman World Federation of Individual Investors
Passport: U.S.

Amy Lawrence

Current: Business Development, Miami DDA
Passport: U.S.

Robert LeBuhn

Former: Chairman and President, Investor International (U.S.), Inc.
Current: Private investor, investment advisor, board member
Passport: U.S.

Katherine Naherny

Former: Head, Office of Business Resources, City of Madison, WI
Passport: U.S.

Alexander Palasek

Current: Associate Attorney, Simmons Jannace DeLuca LLP
Passport: U.S.

Ned Pope

Current: FloridaNext.org
Passport: U.S.

James Schnorf

Current: Founder & President, Wall Street Capital, Inc., Orlando, FL
Passport: U.S.

Robert Young

Former: CEO, Liquidnet Canada
Passport: U.S.

* Member of ISEEE Board of Directors

** Member of Executive Committee

List of Accompanying Persons

Carolyn Cleland, Canada
Carol Duffy, New York, U.S.
Mary Pat Franasiak, U.S.
Ruth Lipper, New Jersey, U.S.
Hermine Maquil, Luxembourg
Andrea Palasek, New York, U.S.
Maureen Scheckel, U.S.
Judy Shelton, Chicago, U.S.